

# COMPLIANCE NEWS

## Campus Safety Issues

DePaul University seeks to maintain a safe and secure environment for its employees, students, and visitors and to protect participants in the university's educational, service, and extra-curricular activities. The university has a variety of policies and procedures intended to reduce the risk of injury and promote the safety and well-being of the university community. The following matrix provides guidance on reporting avenues for some of these safety issues.

### SAFETY ISSUES REPORTING MATRIX

REPORTABLE ISSUES	REPORTING AVENUES
Campus Crime Reporting, Threats & Violence	To report threatening, disturbing, or unusual behavior involving members of the DePaul community contact: <ul style="list-style-type: none"> <li>• 911 first in an emergency situation, and</li> <li>• Public Safety</li> </ul>
Suspected Abuse or Neglect of a Minor	DCFS Child Abuse Hotline: (800) 25-ABUSE
Registration of Programs with Minors	Compliance & Risk Management
Sexual & Relationship Violence	Title IX Coordinator
Sexual Harassment and Discrimination	Office of Institutional Diversity & Equity
Accidents Involving Vehicles Being Used for University Business	On Campus - Report incident to: <ul style="list-style-type: none"> <li>• Immediate supervisor,</li> <li>• Public Safety</li> <li>• Environmental Health &amp; Safety (EHS)</li> </ul> Off Campus - Report the incident to: <ul style="list-style-type: none"> <li>• The police department in the location where the accident occurred,</li> <li>• Immediate supervisor (or the program advisor/sponsor),</li> <li>• EHS</li> </ul>
Unsafe Working Conditions/ Workplace Accidents	Accidents both on and off campus causing injury or illness and theft of or damage to university property must be reported to: <ul style="list-style-type: none"> <li>• Immediate supervisor,</li> <li>• Public Safety</li> <li>• Public Safety will file an Incident Report and also notify Compliance &amp; Risk Management.</li> </ul>
Individuals with Disabilities who need assistance in an emergency evacuation	Students and staff with disabilities should self-identify and register with the Office of Public Safety at the beginning of each academic quarter.
Unmanned Aircraft Systems (UAS/Drones)	All university-owned UAS must be registered with Compliance & Risk Management.

Matrix continued on Page 2

## Contact Information:

- **Public Safety** - LPC: 773/325-7777, Loop: 312/362-8400
- **Compliance & Risk Management** - 312/362-6880, [compliance@depaul.edu](mailto:compliance@depaul.edu)
- **Programs & Events Involving Minor Children** [website](#)
- **Title IX Coordinator** - LPC: 312/325-8282, Loop: 312/362- 8400, [titleixcoordinator@depaul.edu](mailto:titleixcoordinator@depaul.edu)
- **Office of Institutional Diversity & Equity** - 312/362-6872
- **Environmental Health & Safety Office (EHS)** - 773/325-4201

**COMPLIANCE and RISK MANAGEMENT**

Office Location:  
55 East Jackson Boulevard,  
Suite 803  
312/362-6880  
[compliance@depaul.edu](mailto:compliance@depaul.edu)

**DIRECTOR**

Emily Opalski  
312/362-5310  
[eopalski@depaul.edu](mailto:eopalski@depaul.edu)

**COMPLIANCE MANAGER**

Mary Jo Rizzo  
312/362-8628  
[mrizzo3@depaul.edu](mailto:mrizzo3@depaul.edu)

**COMPLIANCE & RISK ANALYST**

Montey Caston  
312/362-6395  
[mcaston1@depaul.edu](mailto:mcaston1@depaul.edu)

**INSURANCE & RISK MANAGER**

Brian Henson  
312/362-7161  
[bhenson1@depaul.edu](mailto:bhenson1@depaul.edu)

**COMPLIANCE & RISK ANALYST**

Laurie Melvin  
312/362-8696  
[lmelvin@depaul.edu](mailto:lmelvin@depaul.edu)

**EHS Manager**

Jenny Graham  
773/325-4201  
[jgraham5@depaul.edu](mailto:jgraham5@depaul.edu)

**EHS Specialist**

Katie Abma  
773/325-8985  
[kabma@depaul.edu](mailto:kabma@depaul.edu)

## Higher Ed Compliance in the News

*The following examples are presented to inform employees that institutions of higher education are not immune to misconduct. DePaul is committed to fostering a culture of compliance and accountability consistent with its mission. To that end, DePaul staff, faculty and students, are encouraged to report suspected wrongdoing.*

- In November, an Ivy League university canceled its men's soccer team season after a university investigation found that a lewd online "scouting report" about female athletes created in 2012 had continued into the current fall semester. The President said the decision was made after university officials discovered that the "appalling actions of the 2012 men's soccer team . . . appear to have been more widespread across the team and have continued beyond 2012, including in the current season." He noted the decision to cancel a season is serious and consequential, and reflects the university's view that both the team's behavior and the failure to be forthcoming when initially questioned are completely unacceptable.
- A former Dean filed a whistleblower suit against a for-profit technical school claiming he was fired after repeatedly bringing up concerns about fraudulent practices with higher ups, including the director of recruitment, the college director and other officials. He accused the organization of luring students under false pretenses or who weren't prepared for school, all in the name of securing more federal financial aid funding. The whistleblower suit alleges that the company violated the false claims act -- a law that holds companies liable for defrauding the federal government -- through a "systematic scheme" to continue to receive federal financial aid payments while not adhering to the conditions placed on them. The company shut down, laying off the bulk of its 8,000 employees, just weeks after the Department of Education banned it from enrolling new students using federal financial aid. More than 30,000 students are impacted by the shut-down.
- An Arizona man was arrested on charges that he hacked into over 1,000 student email accounts and the email accounts of others at two universities; and attempted to do the same at 75 other higher-education institutions. According to the complaint, he used password reset tools to try to access thousands of email accounts at two universities in New York and Pennsylvania, successfully changing the passwords for 1,050 accounts. Prosecutors said he went on to compromise social media and other accounts at online services such as Facebook, LinkedIn and Google that were linked to the university email accounts, and mined those accounts for users' confidential information.

## Compliance Updates

- The 2016 General Compliance Training (GCT) workshop is now available. All faculty, staff and student employees are required to complete this annual on-line refresher on the Code of Conduct and related university policies by the January 31, 2017 deadline. The training can be accessed at <http://go.depaul.edu/gct>.
- Thank you to all budget managers and grant Principal Investigators who helped the university achieve 100% compliance on the completion of the 2016 Manager Control Self-Assessment (MCSA).

DEPAUL  
UNIVERSITY

COMPLIANCE AND RISK MANAGEMENT

